

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Atty. Dkt.: 380-41

Date: September 23, 2003

Sir:

Attached for filing is the patent application of:

Inventor: KAGAWA et al

Entitled: OPTICALLY ACTIVE EPOXY COMPOUNDS AND PROCESSES  
FOR THEIR PRODUCTION

and including attachments as noted below:

- ☒ Newly executed Declaration, ☐ Copy of Declaration from prior application, ☒ Abstract  
72 pages of specification and claims (including 30 numbered claims), and  
sheets of accompanying drawing/s.
- ☒ Record the attached assignment to TOSOH CORPORATION, Yamaguchi-ken, Japan and return to the undersigned.
- ☐ Attached is a Power of Attorney.
- ☒ Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:

Application Number	Country	Day/Month/Year Filed
2002-279147	JAPAN	25 September 2002
2002-279148	JAPAN	25 September 2002
2002-311302	JAPAN	25 October 2002

, respectively, the entire content of which is hereby incorporated by reference in this application..

- ☐ Certified copy(ies) of foreign application(s) is/are attached.
- ☐ Certified copy(ies) filed on \_\_\_\_\_ in prior appln. no. \_\_\_\_\_ filed \_\_\_\_\_
- ☐ Petition filed in prior application to extend its life to insure co-pendency.
- ☐ The prior application is assigned to \_\_\_\_\_
- ☐ It is hereby requested that the Examiner consider the art cited in the parent application by applicant and/or the Examiner for the reasons stated therein. A listing of that art is attached.
- ☐ Applicant claims "small entity" status. ☐ "Small entity" statement attached.
- ☐ Please enter the attached and/or below preliminary amendment prior to calculation of filing fee:
- ☐ Also attached: ☐ Information Disclosure Statement; ☐ Non-Publication Request; ☐ Nucleotide and/or Amino Acid Sequence Submission; ☐ Statement deleting Inventor(s) named in prior application; ☐ Other:

**FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY HEREWITH CANCELED**

Basic Filing Fee		\$	750.00
Total effective claims	30 - 20 (at least 20) = 10	x \$ 18.00	\$ 180.00
Independent claims	4 - 3 (at least 3) = 1	x \$ 84.00	\$ 84.00
If any proper multiple dependent claims now added for first time, add \$280.00 (ignore improper)			\$ 0.00
		<b>SUBTOTAL</b>	\$ 1014.00
If "small entity," then enter half (1/2) of subtotal and subtract		-\$ (	0.00)
		<b>SECOND SUBTOTAL</b>	\$ 1014.00
Assignment Recording Fee (\$40.00)		\$	40.00
		<b>TOTAL FEE ENCLOSED</b>	\$ 1,054.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension.

The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

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**NIXON & VANDERHYTE P.C.**

By Atty: Arthur R. Crawford, Reg. No. 25,327

Signature: \_\_\_\_\_


